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KOKUSAI076

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

2004 JUN 18 PM 4: 09

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FEB 11 2004

Kazuo Tanaka

Serial No.: 09/964,863

Group Art Unit: 2814

Filed: September 28, 2001

Examiner: Louis, Wai Sing

For: TEMPERATURE CONTROLLING METHOD, THERMAL TREATING
APPARATUS, AND METHOD OF MANUFACTURING SEMICONDUCTOR
DEVICE

OFFICIAL

Honorable Commissioner of Patents
Alexandria, VA 22313-1450

ATTENTION: FINANCE DIVISION

Sir: REQUEST FOR REFUND

A charge of \$84.00 was erroneously deducted for fee code 1201 (independent claims in excess of three) from our Deposit Account 50-0481 on October 24, 2003.

It is noted that an Excess Claim Fee Payment Letter was filed on September 8, 2003, along with our Check No. 9804 in the amount of \$578.00 for two independent claims (\$168.00) and extension fee (\$410.00). Therefore, it appears that the \$84.00 was charged in error and should be refunded.

Therefore, Applicant respectfully requests that the \$84.00 fee be refunded to Attorney's Deposit Account No. 50-0481. Please credit any overpayment of fees to Attorney's Deposit Account number 50-0481.

Respectfully Submitted,

Date: 2/10/04

Sean M. McGinn, Esq.
Reg. No. 34,386

McGinn & Gibb, PLLC
Intellectual Property Law
8321 Old Courthouse Road, Suite 200
Vienna, VA 22182-3817
(703) 761-4100
Customer No. 21254

02/11/2004 11:41 FAX 7037612

McGinn & GIBB, PLLC

DEPT REF Room 307

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LAW OFFICES OF
McGINN & GIBB, PLLC

A PROFESSIONAL LIMITED LIABILITY COMPANY
PATENTS, TRADEMARKS, COPYRIGHTS, AND INTELLECTUAL PROPERTY LAW
8321 OLD COURTHOUSE RD. SUITE 200
VIENNA, VA 22182
TELEPHONE: (703) 761-4100
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FREDRIC J. ZIMMERMAN
JAMES E. HOWARD
JAMES N. GREGER
JOHN J. DRECH

† Other Than Virginia Bar Member

ANNAPOLIS, MD OFFICE
FREDERICK W. GALT, II
MOLANBARD S. HAYMAN

February 10, 2004

VIA FACSIMILE

To: Mai
Deposit Accounts
U.S.P.T.O.

Facsimile No.: (703) 872-9306

From: Sean M. McGinn

Facsimile No.: (703) 761-2375

Re: October 31, 2003 Deposit Account Statements
U.S. Patent Application No.: 09/964,863
Our Ref.: ORI045

Dear Mai:

A charge of \$84.00 was erroneously deducted for fee code 1201 (independent claims in excess of three) from our Deposit Account 50-0481 on October 24, 2003.

It is noted that an Excess Claim Fee Payment Letter was filed on September 8, 2003, along with our Check No. 9804 in the amount of \$578.00 for two independent claims (\$168.00) and extension fee (\$410.00). Therefore, it appears that the \$84.00 was charged in error and should be refunded.

For your convenience, enclosed is a copy of the October 31, 2003, Monthly Statement of Deposit Account, Excess Claim Fee Payment Letter with dated stamped post-card, and Check No. 9804 including the back side of Check No. 9804 showing that the USPTO in fact cashed the check.

Thank you in advance for your consideration on this case.

Very truly yours,


Sean M. McGinn

SMM/yr
Enclosures

DIPE/JCWS

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**UNITED STATES
PATENT AND
TRADEMARK OFFICE**

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To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

MCGINN & GIBB, PC
 SEAN R MCGINN
 8321 OLD COURTHOUSE RD.
 SUITE 200
 VIENNA VA 22182-3817

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DEC - 4 2003

McGINN & GIBB, P.C.

Under Secretary of Commerce for Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

Account No.	500481
Date	10-31-03
Page	1

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DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
10	1	03	371	06542113	AR1019	8013	25.00	6673.00
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10	24	03	6	09964863	KOKUSA1 076	1201	84.00	6408.00
10	30	03	4	09969994	BP-823 US	1806	180.00	6228.00
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10	31	03	164	09879157	EDT060	8001	15.00	6088.00
					FEE CODE 1201			
					Independent claims in excess of three			
<div>AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT</div>								
OPENING BALANCE					TOTAL CHARGES		TOTAL CREDITS	CLOSING BALANCE
6698.00					646.00		36.00	6088.00

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UNIT-443 1712342P
 Attorney's Post Card Filing Receipt
 Serial Number: 099644543
 Pages Filed On: 9/8/03

Attorney's DocId Number: 099644543 ☒ Patent ☐ Trademark

Applicant's Name: McGinn & Gibbs, PLLC Application Filing Date: 9/8/03
 Pages Filed Hereby: 1

☒ Amendment ☒ Request for Extension of Time ☐ CRA Request

☐ Notice of Appeal ☐ Appeal Brief (in triplicate) ☐ Reply Brief

☐ PS EP 01200 ☐ 1449 Form w/ Documents ☐ Priority Document(s)

☐ Amendment ☐ Resubmission Cover Sheet ☐ Formal Drawings

☐ Drawing Corrections ☐ Issue Fee Transmittal ☐ Labeling Page

☒ One Excess Claim Fee Payment Letter

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#9804

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Docket No. KOKUSA 76

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2004 JUN 18 PM 4:09

In re Application of

Kazuo TANAKA, et al.

Serial No.: 09/964,863

Group Art Unit: 2814

Filed: September 28, 2001

Examiner: Wai-Sing Louie

For: TEMPERATURE CONTROLLING METHOD, THERMAL TREATING APPARATUS,
AND METHOD OF MANUFACTURING SEMICONDUCTOR DEVICEHonorable Commissioner of Patents
Alexandria, Virginia 22313-1450**EXCESS CLAIM FEE PAYMENT LETTER**

Sir:

Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.

	<u>AFTER AMENDMENT</u>	<u>FEE PAID FOR</u>	<u>EXTRA CLAIMS PRESENT</u>	<u>RATE</u>	<u>FEE DUE</u>
Total Claims	16 -	20	- 0	x \$12.00	\$ -
Indep. Claims	3 -	3	- 2	x \$54.00	\$ 162.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$ 162.00

A check in the amount of \$162.00 is submitted herewith to cover the excess claim fees. A duplicate copy of this sheet is enclosed. The Commissioner is authorized to charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully Submitted,

Date:

9/8/03

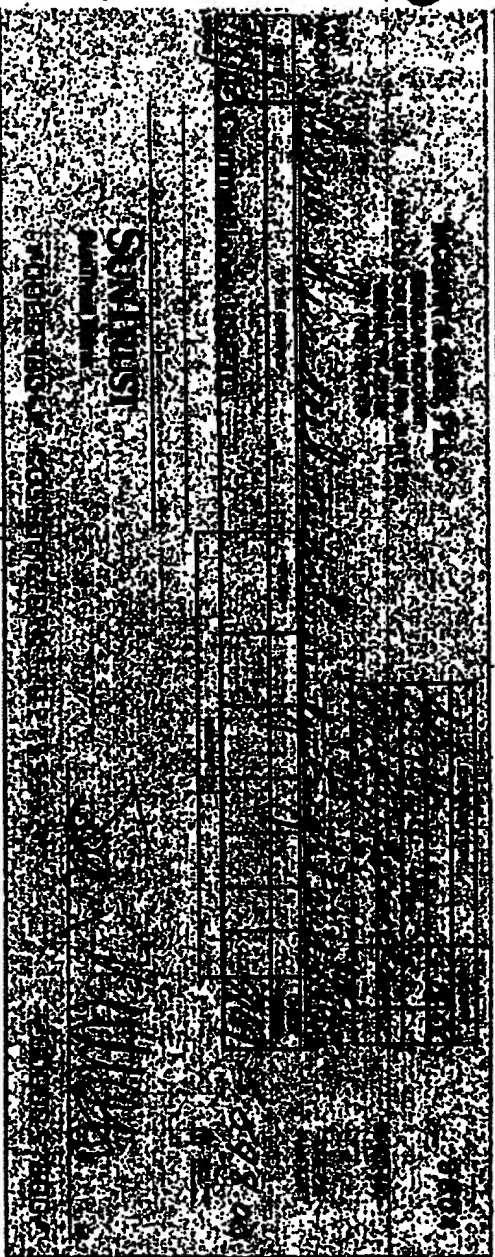
Peter A. Balneave
 Peter A. Balneave
 Reg. No. 46,199

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 Customer No.: 21254

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